

NATIONAL PROGRAMS

Insurance Agents & Brokers Errors & Omissions Insurance Claim/Incident/Disciplinary Complaints Supplemental Application

The form should be completed for each disciplinary complaint, claim, suit or potential claim circumstance of which the applicant, after inquiry of all partners, officers, owners and employees, is aware.

Please answer all questions completely. Attach separate sheet if additional space is necessary to provide details.

Complete Name of Applicant/Insured:	
I. Disciplinary Complaints and Disciplinary Act	tions
1. Complete Name of Complainant:	
2. Date of Complaint:	
3. Did you report these circumstances to your circumstances?	E&O carrier as a claim or as potential claim
If yes, carrier:	Date reported:
Please forward a copy of the initial complaint, regulatory body.	your response submitted to the regulatory body and the final ruling by the
II. Claim and/or Potential Claim Circumstance	S
1. Complete Name of actual or potential Claim	ant:
4. Indicate whether: Claim/Suit 🗌 Inc	ident/Potential Claim
5. a. Date of alleged error:	b. Date you became aware of the claim:
6. Did you report these circumstances to your	E&O carrier as a claim or as potential claim
circumstances?	Yes No
If yes, carrier:	Date reported:
7. Provide a description of the claim, indicating	g the alleged error, type of engagement and alleged injury.
8. What risk management steps have been tak	en to prevent the occurrence of a similar incident/claim?

IF OPEN (PENDING), PLEASE PROVIDE THE FOLLOWING:		
9. a. Claimant's settlement demand: \$	b. Settlement Offer Made: \$	
b. Is claim in suit (lawsuit filed)?	Yes 🗖 No	
If yes, please provide the amount of damages alleged in the complaint: \$		
Please complete separate supplement for each disciplinary con Attach separate sheet if additional space is necessary to provi		
Signature of Owner, Officer or Partner:		
Name:	Title:	

The operations described herein are conducted by RSG Specialty, LLC, a Delaware limited liability company based in Illinois. RSG Specialty, LLC, is a subsidiary of Ryan Specialty, LLC. RSG Specialty works directly with brokers, agents and insurance carriers, and as such does not solicit insurance from the public. Some products may only be available in certain states, and some products may only be available from surplus lines insurers. In California: RSG Specialty Insurance Services, LLC (License #0G97516). ©2024 Ryan Specialty, LLC

Date: _____